

FORM PTO-1449 <u>INFORMATION DISCLOSURE STATEMENT</u>	ATTY. DOCKET NO. 0942.4680003	APPLICATION NO. 09/517,466
	APPLICANT Hartley et al.	
	FILING DATE March 2, 2000	GROUP 1655

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
nmj	AA4	6,040,430	03/21/2000	Stewart	530	358	12/26/1995
	AB4	6,063,627	06/16/2000	McVey et al.	435	440	02/25/1998
	AC4	5,348,886	09/20/1994	Lee et al.	435	320.1	09/04/1992
nmj	AD4	6,171,861	01/09/2001	Hartley et al.	435	455	01/12/1998
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
nmj	AL4	WO 99/10488	03/04/1999	WIPO			Yes No
nmj	AM4	WO 00/12687	03/09/2000	WIPO			Yes No
nmj	AN4	EP 1 035 208	09/13/2000	Europe			Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
nmj	AR	4	Argos, P., et al., "The integrase family of site-specific recombinases: regional similarities and global diversity," <i>EMBO J.</i> 5(2):433-440, IRL Press Limited, Oxford, England (1986).				
nmj	AS	4	Astumian, et al., "Site-specific recombination between cloned attP and attB sites from the Haemophilus influenza bacteriophage HP1 propagated in recombination deficient <i>Escherichia coli</i> ," <i>J. Bacteriol.</i> 171:1747-1750, American Society for Microbiology, Washington, D.C. (1989).				
nmj	AT	4	Atlung, T., et al., "A versatile method for integration of genes and gene fusions into the λ attachment site of <i>Escherichia coli</i> ," <i>Gene</i> 107:11-17, Elsevier/North-Holland, Netherlands (1991).				

EXAMINER <i>Diana P...</i>	DATE CONSIDERED 1/23/04
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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Substitute for form 1449/PTO		Complete if Known			
TENTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	09/517,466		
		Filing Date	March 2, 2000		
		First Named Inventor	James L. HARTLEY		
		Art Unit	1634		
		Examiner Name	Johannsen, D.B.		
Sheet	4	of	4	Attorney Docket Number	0942.4680003/BJD/JKM

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
DM	AS226	Copy of Office Action for United States Patent Application No. 10/058,292, Hartley <i>et al.</i> , mailed May 26, 2005	
	AT226	Copy of Office Action for United States Patent Application No. 10/058,292, Hartley <i>et al.</i> , mailed November 18, 2005	
	AR227	Copy of Office Action for United States Patent Application No. 10/151,690, Brasch <i>et al.</i> , mailed April 18, 2005	
	AS227	Copy of Office Action for United States Patent Application No. 10/162,879, Hartley <i>et al.</i> , mailed April 28, 2005	
	AT227	Copy of Office Action for United States Patent Application No. 10/162,879, Hartley <i>et al.</i> , mailed October 20, 2005	
	AR228	Copy of Office Action for United States Patent Application No. 10/796,868, Hartley <i>et al.</i> , mailed January 25, 2005	
DM	AS228	United States Patent Application No. 11/106,715, Byrd <i>et al.</i> , filed April 15, 2005 US 20060073593 A1, reviewed 3/18/07 04/2006 DM	
DM	AT228	United States Patent Application No. 11/290,804; Gray <i>et al.</i> , filed December 1, 2005 US 20060204979 A1, reviewed 3/18/07 08/2006 DM	
	AR229	United States Patent Application No. 10/633,690, Byrd <i>et al.</i> , filed August 5, 2003	

Examiner Signature	Diana P. S.	Date Considered	3/18/07
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	09/517,466
				Filing Date	Mar 2, 2000
				First Named Inventor	James L. HARTLEY
				Art Unit	1634
				Examiner Name	JOHANNSEN, Diana B.
Sheet	1	of	6	Attorney Docket No: IVGN 223	

US PATENT DOCUMENTS					
Examiner Initial *	Cite No	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	09/486,100		Hartley, James L.	
	A2	09/177,387		Hartley, James L.	
	A3	09/296,281		Hartley, James L.	
	A4	09/517,466	Mar 2, 2000	Hartley, James et al.	
	A5	09/318,188		Hartley, James	
	A6	09/640,700		Hartley, James	
	A7	09/695,065		Brasch, Michael	
	A8	2002/0006664	Jan 17, 2002	Sabatini, D. M.	
	A9	2002/0094574	Jul 18, 2002	Hartley, James et al.	
	A10	2002/0172997	Nov 21, 2002	Hartley, J. L., et al.	
	A11	2002/0192819	Dec 19, 2002	Hartley, James et al.	
	A12	2003/0032203	Feb 13, 2003	Sabatini, David et al.	
	A13	2003/0054552	Mar 20, 2003	Hartley, J. L., et al. <i>Hartley</i>	
	A14	2003/0064515	Apr 3, 2003	Hartley, James et al.	
	A15	2003/0068799	Apr 10, 2003	Hartley, James et al.	
	A16	2003/0077804	Apr 24, 2003	Byrd, Devon R., et al.	
	A17	2003/0124555	Jul 3, 2003	Brasch, Michael A., et al.	
	A18	2003/0157662	Aug 21, 2003	Gerard, G. F., et al.	
	A19	2003/0157716	Aug 21, 2003	Hartley, James L., et al.	
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	A22	2004/0253631	Dec 16, 2004	Hartley, J. L., et al.	
	A23	2006/0008817	Jan 12, 2006	Carrino, J. et al.	
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	A25	2007/0184451	Aug 9, 2007	Byrd, D. R., et al.	
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	A27	6140086	Oct 31, 2000	Fox, Donna K., et al.	
	A28	7176029	Feb 13, 2007	Bernard, Philippe et al.	
	A29	7223576	May 29, 2007	Hartley, James L., et al.	
	A30	7282326	Oct 16, 2007	Hartley, James L., et al.	
	A31	7304130	Dec 4, 2007	Hartley, James L., et al.	
	A32	7351578	Apr 1, 2008	Cheo, David et al.	
	A33	7393632	Jul 1, 2008	Cheo, David et al.	
	A34	7408049	Aug 5, 2008	Hartley, James L., et al.	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /D.B.J.